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**RESEARCH  
REPORT**

**N° 8725**

May 2015

Project-Teams KerData and  
ANL/Inria Data@Exascale  
associated team





## On the Use of Formal Grammars to Predict HPC I/O Behaviors

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Research Report n° 8725 — version 2 — initial version May 2015 —  
revised version August 2015 — 32 pages

**Abstract:** The increasing gap between the computation performance of post-petascale machines and the performance of their I/O subsystem has motivated many I/O optimizations including prefetching, caching, and scheduling. In order to further improve these techniques, modeling and predicting spatial and temporal I/O patterns of HPC applications as they run has become crucial. In this paper we present Omnisc'IO, an approach that builds a grammar-based model of the I/O behavior of HPC applications and uses it to predict when future I/O operations will occur, and where and how much data will be accessed. To infer grammars, Omnisc'IO is based on StarSequitur, a novel algorithm extending Nevill-Manning's Sequitur algorithm. Omnisc'IO is transparently integrated into the POSIX and MPI I/O stacks and does not require any modification in applications or higher-level I/O libraries. It works without any prior knowledge of the application and converges to accurate predictions of any  $N$  future I/O operations within a couple of iterations. Its implementation is efficient in both computation time and memory footprint.

**Key-words:** HPC, Storage, I/O, Prediction, Grammar, Omnisc'IO

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# De l'Utilisation de Grammaires Formelles pour Prédire le Comportement des E/S en Calcul Hautes Performances

**Résumé :** Le fossé grandissant entre les performances de calculs des machines post-petaflopiques et les performances de leurs systèmes d'entrées/sorties (E/S) a motivé de nombreuses optimisations des E/S, notamment les techniques de préchargement (*prefetching*), de mise en cache et d'ordonnancement. Afin d'améliorer l'efficacité de ces techniques, il est devenu crucial de pouvoir modéliser et prédire les comportements spatiaux et temporels des E/S des applications alors qu'elles s'exécutent. Dans ce rapport, nous présentons Omnisc'IO, une approche construisant un modèle des E/S basé sur des grammaires formelles, et utilisant celui-ci pour prédire quand les prochaines opérations d'E/S se produiront, à quel endroit, et quelle quantité de données sera accédée. Afin d'inférer des grammaires, Omnisc'IO se base sur StarSequitur, un nouvel algorithme qui étend l'algorithme Sequitur de Nevill-Manning. Omnisc'IO est intégré de manière transparente dans les couches POSIX et MPI-I.O et ne nécessite aucune modification dans les applications ou dans les bibliothèques de plus haut niveau. Il fonctionne sans connaissance a priori au sujet des applications, et converge vers des prédictions fiables de n'importe quel nombre  $N$  d'opérations futures en seulement quelques itérations. Son implémentation est efficace à la fois en calculs et en mémoire.

**Mots-clés :** Calcul Hautes Performances, Stockage, E/S, Prédiction, Grammaire, Omnisc'IO

## 1 Introduction

Existing petascale computing platforms often fail to meet the I/O performance requirements of applications running at scale. This weakness of the I/O system relative to computing capabilities is part of a trend that is worsening as we develop ever more capable computing platforms, and we expect the next generation of systems to have an even larger gap [1]. Moreover, most HPC applications exhibit a periodic behavior, alternating between computation, communication, and I/O phases. The I/O phases are commonly used for coordinated checkpointing and/or analysis or visualization output. They produce bursts of activity in the underlying storage system [2, 3] that further limit the overall scalability of the HPC application and potentially interfere with other applications running concurrently on the platform [4].

To alleviate the performance penalty of the I/O bottleneck in petascale systems, researchers have explored techniques such as prefetching, caching, and scheduling [4, 5, 6]. The effectiveness of such techniques strongly depends on a certain level of knowledge of the I/O access patterns: prefetching and caching indeed require the location of future accesses (i.e., spatial behavior), while I/O scheduling leverages estimations of I/O requests interarrival time (i.e., temporal behavior), and the location of accesses in terms of storage targets. The key challenges inherent in these techniques include the proper comprehension and exploitation of the application’s I/O behavior within the I/O stack itself [7, 5]. Hence, *modeling and predicting application I/O behavior are of utmost importance*.

While predicting the I/O patterns of HPC applications has long been an important goal in large-scale supercomputers, researchers have investigated statistical methods (e.g., hidden Markov models (HMM) [8] and ARIMA models [6]), or non-statistical methods based on frequent patterns detection [9, 7]. These approaches often focus exclusively on either spatial or temporal I/O behaviors. Furthermore, they require a large number of observations to accomplish good prediction; hence, they either need long execution time (several runs in some cases) [9] or are doomed to offline trace-based training [10] in order to converge.

Our work addresses the limitations of current prediction systems and takes a step toward intelligent I/O management of HPC applications in next-generation post-petascale supercomputers [11] that is capable of run-time analysis and adaptation to the I/O behavior of applications. To this end, we present the design and implementation of Omnisc’IO, a grammar-based approach for modeling and predicting the I/O behavior of HPC applications.

The intuition behind Omnisc’IO is that, while statistical models are appropriate mostly for phenomena that exhibit a random behavior, the (mostly) deterministic behavior of HPC applications, inherent from their code structure and the absence of interactivity with an end-user, makes other representations of their I/O behavior possible. Formal grammars, as natural models to represent a sequence of symbols, have been widely applied to areas of text compression, natural language processing, music processing, and macromolecular sequence modeling [12] [13]. Therefore, an approach based on formal grammars is suitable for I/O behavior modeling, since it detects the hierarchical and periodic nature of the code that produced the I/O patterns, with its nested loops and stacks of function calls.

**Contributions:** Omnisc’IO builds a grammar-based model of the I/O behavior of *any* HPC application and uses it to predict *when* future I/O operations will occur (i.e., predict the inter-arrival time between I/O requests), as well as *where* and *how much* data will be accessed (i.e., predict the file being accessed as well as the location –offset and size– of the data within this file). It learns its model at run time using an algorithm derived from Sequitur [14]. Sequitur was designed to build a grammar from a sequence of symbols and has been used mainly in the area of text compression. The use of Sequitur in the context of predicting I/O behaviors in HPC raised two challenges. First, Sequitur had to be turned into an algorithm capable of *making*

*predictions*. Second, some optimizations to Sequitur were required to *make the resulting grammar's size bounded*. In this respect, our algorithmic contribution is much more general than its application to I/O pattern prediction. We call *StarSequitur* our new Sequitur-based algorithm.

Omnisc'IO is *transparently* integrated into the POSIX and MPI I/O stacks and does not require any modification in applications or higher-level I/O libraries. It works without any prior knowledge of the application, and it converges to accurate predictions within a couple of iterations. Its implementation is efficient both in computation time (less than a few microseconds to update the model on a recent x86 hardware) and in memory footprint. Omnisc'IO is evaluated with four real HPC applications –CM1, Nek5000, GTC, and LAMMPS– using a variety of I/O backends ranging from simple POSIX to Parallel HDF5 on top of MPI-I/O. Our experiments show that Omnisc'IO achieves from 79.5% to 100% accuracy in spatial prediction (percentage of matching between the predicted segment and the accessed segment) and an average precision of temporal predictions (absolute difference between the predicted and the actual date of the next accesses) ranging from 0.2 seconds to less than a millisecond.

Finally as a side effect of this work, *we show exactly how predictable HPC codes can be*.

**Goals:** The primary goal for Omnisc'IO, and the focus of this paper, is to model the I/O behavior of *any* HPC application and use this model to accurately predict the spatial and temporal characteristics of future I/O operations. Omnisc'IO can therefore be applied at the core of many I/O optimizations, including prefetching, caching, or scheduling.

In order not to undermine the generality of our approach, this paper does not present the use of Omnisc'IO in a particular context (i.e., prefetching, caching, or scheduling). Others have already demonstrated the benefits of applying I/O predictions to enhance the performance in each of these techniques [8, 6, 9, 10]. We focus our study on the prediction capabilities of Omnisc'IO.

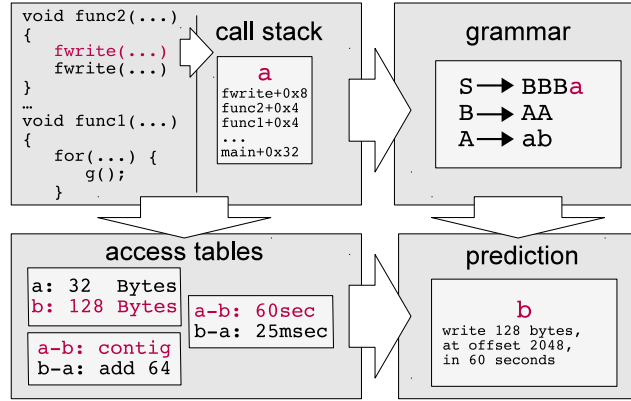
This paper extends our previous work [15] by (1) proposing *StarSequitur*, an extension to Nevill-Manning's Sequitur that keeps the grammar size bounded in case of periodic sequences, and is able to predict future incoming symbols, (2) making Omnisc'IO capable of predicting *any number of future I/O operations*, (3) improving Omnisc'IO's predictions capability by weighting predictions when several are available and (4) we performed extensive experiments that demonstrate the substantial benefit of *StarSequitur*, including the accurate predication of any sequence of  $N$  future operations, and bounded grammar sizes.

## 2 The Omnisc'IO approach

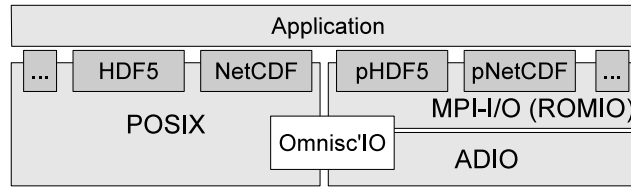
This section first gives an overview of the Omnisc'IO approach. The next one then dives into its technical and algorithmic details.

Figure 1 presents an overview of Omnisc'IO. Omnisc'IO captures each atomic request to the file system (open, close, read, write, etc.) in a transparent manner, without requiring any change in the application or I/O libraries. At each operation, Omnisc'IO operates as follows.

1. The *context* in which the operation is executed is extracted by recording the call stack of the program (upper-left part of Figure 1(a)). This is a known technique [16] that helps capture the structure of the code issuing the I/O operations. The context is abstracted as a *context symbol* ( $a$  in the figure).
2. A grammar-based model of the stream of context symbols (upper-right part of Figure 1(a)) is updated by using *StarSequitur* a new algorithm derived from Sequitur. Sequitur has been applied to text compression in the past [12] because of its ability to detect several occurrences of substrings in a text and to store them into grammar rules. We have adapted it to model the repetitive behavior of an HPC application, represented as a stream of context symbols. The



(a) Architecture of Omnisc'IO



(b) Integration of Omnisc'IO in the I/O stack

**Figure 1:** Overview of the Omnisc'IO approach.

application of Sequitur to the field of application behavior modeling is novel and constitutes part of our contribution.

3. Spatial (size, offset, file) and temporal (interarrival time) access patterns are recorded in tables associating context symbols or transitions among symbols with access patterns (lower-left part of Figure 1(a)). The intuition is (for the example of the access size) that a given context symbol will often be associated with the same access size or with a reduced number of sizes whose sequence can also be learned. This intuition has been experimentally verified, and mainly stem from the fact that a given context symbol often uniquely corresponds to the access to a particular part of a given variable.

4. We improved on the Sequitur grammar-inference algorithm and propose *StarSequitur* to (1) *make predictions* of future context symbols and (2) reduce the grammar in case of periodic behaviors. It then becomes easy to predict the characteristics of future accesses by looking up the access patterns associated with the predicted context symbols in the aforementioned tables (lower-right part of Figure 1(a)). Additionally, we implemented a weighting system to represent the relative confidence our model has on its different predictions. Beyond its use in the context of HPC I/O, our new StarSequitur algorithm constitutes another important part of our contribution.

The main idea of the prediction process using our model is as follows: using the grammar, Omnisc'IO is able to recognize that the current I/O operation (or a pattern of consecutive operations) was already observed in the past. It thus provides the position in the grammar where this pattern is stored. Reading the grammar from this position allows to reconstitute the previously observed pattern as well as all the operations that followed it.

### 3 Algorithmic and technical description

As shown in Figure 1(b), Omnisc'IO is integrated within the POSIX I/O layer and the ADIO layer in MPI-I/O. In the following, we provide more details on the four steps described above.

#### 3.1 Tracking applications behavior

To give a context to each atomic I/O operation, we use the libc `backtrace` function to retrieve the list of stacked program counters (array of `void*` pointers). When called within a function `f`, this list of addresses characterizes the series of return addresses that leads from `f` back to `main`. Different calls to `f` in distinct places in the program (or libraries) lead to different *call stack traces*. Omnisc'IO calls `backtrace` within wrappers of I/O functions and associates the returned array with a unique integer, such that two I/O calls with the same stack trace will be associated with the same integer. In the following, such integers are called *context symbols* and represent the context in which an I/O operation occurs.

Omnisc'IO is based on the observation that (1) a particular context is likely to be associated with fixed parameters (e.g., two calls to `write` within the same context are likely to involve the same amount of data); (2) transitions between two contexts can also be associated with fixed parameters (tracking the evolution of the offset can be done by tracking transitions between contexts) and with little-varying transition times; and, most important, (3) the stream of context symbols is eventually predictable, and a model of it can be built at run time.

In our prototype, we overloaded the POSIX I/O functions (`write`, `read`...) and the libc functions (`fwrite`, `fread`...) using a preloaded shared library. In MPI-I/O we added an intermediate layer within the ADIO layer in ROMIO, a popular implementation of MPI-I/O [17], to track the lowest-level I/O functions that access files metadata (`open`, `close`...) and atomic functions that access contiguous blocks of data and are used by more elaborate I/O algorithms. The reason for adding this layer in ADIO is that ROMIO does not necessarily use POSIX calls at its lowest level. For example, using PVFS (as in our evaluation), requests are sent through the PVFS API.

While working at the lowest level of the I/O stack is necessary to capture the I/O behavior at a fine grain (i.e., a series of atomic requests to the file system), the use of backtraces lets Omnisc'IO have information also on the upper layers that issued the I/O, including I/O middleware, libraries, and the application itself.

##### 3.1.1 Learning the grammar of the application

While capturing a stream of symbols representing the behavior of the application, we aim to predict the next symbols given past observations. Omnisc'IO models the stream of symbols using a context-free grammar. This grammar is learned at run time using an algorithm inspired by Nevill-Manning and Witten's Sequitur algorithm [14].

As background, a context-free grammar  $G$  is a quadruple  $(\Sigma, \mathbb{V}, \mathcal{R}, S)$ , where  $\Sigma$  is a finite set of *terminal* symbols (in our case the symbols defined by the call stack traces);  $\mathbb{V}$  is a finite set of *nonterminal* symbols disjoint from  $\Sigma$ ;  $\mathcal{R}$  is a finite relation from  $\mathbb{V}$  to  $(\mathbb{V} \cup \Sigma)^*$ , usually written as a set of rules in the form  $A \rightarrow x_1 \dots x_k$ , where  $x_i \in (\mathbb{V} \cup \Sigma)$ ; and  $S \in \mathbb{V}$  is a starting symbol. In the following, we call  $x_i$  the *nested symbols* of  $A$ .

Nevill-Manning's Sequitur builds a context-free grammar from a stream of symbols by updating the grammar at each input. It starts with a single rule  $S$ . At each new input  $x$ , it appends  $x$  to the end of rule  $S$  and recursively enforces two constraints:



**Table 1:** Examples of context-free grammars. Lowercase letters represent terminal symbols, while uppercase letters represent rules and their instances. Example 1 is correct from a Sequitur perspective. Example 2 violates the rule utility (rule  $A$  is used only once; it should be deleted and its only instance should be replaced with its content). Example 3 violates the digram uniqueness (digram  $ab$  appears twice; a new rule  $B \rightarrow ab$  can be created to replace it).

Example 1	Example 2	Example 3
$S \rightarrow abAAe$	$S \rightarrow abAe$	$S \rightarrow ababAAe$
$A \rightarrow cd$	$A \rightarrow cd$	$A \rightarrow cd$

**Table 2:** Grammars built by Sequitur and StarSequitur from the sequence  $ababababababab$ .

Sequitur	StarSequitur
$S \rightarrow AA$	
$A \rightarrow BB$	$S \rightarrow A^8$
$B \rightarrow CC$	$A \rightarrow ab$
$C \rightarrow ab$	

**Digram uniqueness:** Any sequence of two symbols  $ab \in (\mathbb{V} \cup \Sigma)^2$  (digram) cannot appear more than once in all rules. If one does, a new rule  $R \rightarrow ab$  is created, and the constraints are enforced recursively.

**Rule utility:** All rules should be instantiated at least twice. If a rule appears only once, its instance is replaced with the content of the rule, the rule is deleted, and the constraints are enforced recursively.

Examples of context-free grammars are given in Table 1, some of which violate the Sequitur constraints. In the following, the grammar built from the context symbols is called the *main grammar* of OmniscIO. Sequitur has a linear worst-case complexity both in space and in time.

### 3.1.2 Optimizing the grammar's size

The size of a grammar built by Sequitur is linked to the compressibility of the input sequence. At best, such a grammar's size grows logarithmically with the size of the input sequence. This scenario occurs when the sequence is periodic.

To optimize the size of the resulting grammars and make it bounded in case of periodic input sequences (recall that most HPC application have a periodic behavior), we built on Sequitur to provide a way to express symbols exponentiation. Our new algorithm, that we call *StarSequitur*, stores symbols with an exponent, for instance  $a^3$  instead of  $aaa$ . To do so, it leverages a third constraint:

**Twins removal:** Any digram of the form  $a^i a^j$  should be replaced with  $a^{i+j}$ , and the constraints are enforced recursively if necessary.

To illustrate the advantage of our new algorithm over Sequitur, Table 2 shows the grammars built by Sequitur and by our algorithm from a simple periodic sequence.

Although our experiments will show that even without this optimization the memory footprint

of Omnisc'IO is rather small (in the order of a few hundreds of KB), we note that (1) the complexity of the algorithms presented in the following section depend on this size and (2) with smaller sizes, Omnisc'IO's grammars could be more easily transferred to entities (e.g., schedulers or file systems) that could make use of it.

### 3.1.3 Predictions using the grammar model

Sequitur and StarSequitur build a grammar from a stream of symbols, but they do not predict the next incoming symbols from past observations. Therefore, we enriched our algorithm to be able to make such a prediction.

This improvement works by marking some of the terminal symbols in the grammar as *predictors*. Intuitively, a symbol marked as a *predictors* in the grammar represents a position in grammar corresponding to a pattern that Omnisc'IO “thinks” we are currently encountering again. Assuming that Omnisc'IO is right, reading the grammar from such a symbol will lead to a sequence of predictions that actually matches future observations.

This *predictor* characteristic is extended to nonterminal symbols by using the following constraints:

**Predictor nesting:** A nonterminal can be a predictor only if at least one of its nested symbols is a predictor.

**Predictor utility:** If symbol  $x$  (terminal or not) is a predictor in rule  $Y$ , then if  $Y \neq S$ , there exists at least one rule  $Z$  such that an instance of  $Y$  is a predictor in  $Z$ .

These constraints enforce that (1) if the grammar contains at least one predictor, then rule  $S$  contains at least one predictor, and (2) all the terminal predictors of the grammar can be reached from a predictor in  $S$  (proofs of these properties are trivial). The relations linking predictors together form a direct acyclic graph within the tree structure of the grammar. These two structural properties have to be carefully preserved when updating the grammar.

In the particular context of StarSequitur, we do not only need to mark a symbol as a “predictor”, but also *at which occurrence(s)* this symbol is a predictor. For instance, symbol  $a^7$ , which represents the sequence  $aaaaaaa$ , could be marked as a predictor only for its second and fifth occurrences ( $a$  $aaaa$  $a$ ). A non-terminal symbol marked as a predictor for some of its occurrences should keep track of its nested predictors for each of these occurrences.

In order to make predictions from the set of predictors, two operations are defined, respectively, to update the set of predictors and to find new ones.

**Updating predictors:** For a symbol of the form  $x$  (terminal or not, without exponent) marked as a predictor, we call *incrementing  $x$*  the operation that consists of unmarking  $x$  and marking as a predictor the symbol that immediately follows it in the rule where  $x$  appears. For a symbol of the form  $x^n$  marked as a predictor at an occurrence  $i \leq k$ , it consists of unmarking the occurrence  $i$  and marking occurrence  $i + 1$ , or marking the symbol immediately following if  $i = k$ . *Updating predictors* consists of first unmarking all terminal predictors that did not correctly predict the last input, enforcing the predictor's constraints, and then incrementing all remaining terminal predictors. If a predictor is the last symbol of a rule, then nonterminal predictors that reference it are incremented recursively. Examples of this operation are shown in Table 3, where predictor symbols are marked in red and underlined. For simplicity reasons, the examples do not include symbols with exponents.

**Table 3:** Predictors incrementation matching a given input. The predictors are marked in red and underlined. In the first input,  $a$  does not match and disappears from the set of predictors,  $c$  matches and is incremented to  $d$ , and  $A$  stays a predictor. In the second example,  $d$  matches but has no successor in rule  $A$ ; thus  $A$  is incremented to  $e$  in rule  $S$ . The resulting models correspond to the grammars before the input is appended and Sequitur’s constraints are applied.

Before Update	Input	After Update
$S \rightarrow \underline{ae}Ab\underline{A}e$ $A \rightarrow \underline{cd}$	$c$	$S \rightarrow aeAb\underline{A}e$ $A \rightarrow \underline{cd}$
$S \rightarrow aeAb\underline{A}ec$ $A \rightarrow \underline{cd}$	$d$	$S \rightarrow aeAb\underline{A}ec$ $A \rightarrow cd$

**Table 4:** Discovery of new predictors matching the last input ( $b$ , appended at the end of rule  $S$ ). The predictors are marked in red and underlined. The symbol  $b$  becomes a predictor wherever it appears, and recursively any rule that leads to an occurrence of  $b$  becomes a predictor. The predictors are then updated to predict the next expected input (here  $c$  or  $e$ ).

Before Discovery	After Discovery	After Update
$S \rightarrow aeAbAeb$ $A \rightarrow cdb$	$S \rightarrow ae\underline{AbAeb}$ $A \rightarrow \underline{cdb}$	$S \rightarrow aeA\underline{bAeb}$ $A \rightarrow \underline{cdb}$

**Discovering predictors:** If all predictors have been removed because none of them correctly predicted the last input, a new step is necessary to rebuild a set of predictors. This step is completed by navigating through the grammar and setting as predictors all symbols matching with the last symbol of rule  $S$  (after insertion of the last input), and within these symbols, all occurrences. Parent rules are also set as predictors recursively wherever they appear. Note that the last symbol of rule  $S$  may be a nonterminal, which forces new predictors to be searched only within the context of its corresponding rule and thus reduces the number of predictors and narrows down the prediction. An example of this operation is shown in Table 4. Again for simplicity we don’t present the case of symbols carrying an exponent. After the discovery of these new predictors, an *update* is necessary.

### 3.1.4 Weighting predictions

The *prediction* of the model corresponds to the set of terminal symbols marked as predictors after inserting a new input, updating the predictors, and enforcing the constraints. Each such symbol is associated with a *weight*. The prediction made by Omnisc’IO for the next symbol thus consists of a set of pairs (*context symbol*, *weight*). The weight is defined as *the number of paths (taking exponents into account) from rule  $S$  down to the predictors through the nested predictors relationship*. The idea behind this weighting system is the following: if a symbol is marked as a predictor in a rule that is often used in the grammar, then it should be given a greater weight than a symbol appearing in a rule that is more seldom used. For instance, in the following grammar

$$S \rightarrow \underline{ad^3e}Ab\underline{A}ec \quad A \rightarrow \underline{cd},$$

$e$  is marked as a predictor in  $S$ , its weight is 1.  $d$  is marked as a predictor in  $A$  which is used twice as a predictor, and assuming all of its 3 occurrences are marked in  $S$ ,  $d$ 's weight is  $1 \times 2 + 3 \times 1 = 5$ . This weighting system is more elaborate than that of our previous version of Omnisc'IO, in which only the number of occurrences of the terminal predictors was taken into account, regardless of the number of occurrences of its parent, non-terminal predictors.

### 3.1.5 From 1 to $N$ predictions

The terminal symbols marked as predictors in the grammar at a given moment give the set of predicted upcoming (next) operations. For Omnisc'IO to be useful in HPC I/O optimizations, it should be able to predict any  $N$  future operations. To do so, we build iterators that start at current predictors and read the grammar from them. These iterators are based on a stack of symbols. The top of the stack is always a terminal symbol, and the symbols that follow in the stack are instances of rules within which the symbol above them is read. When incrementing the iterator, the top of the stack is popped, incremented, and the result of this incrementation is pushed back on the stack. If the top symbol was the last of its rule, it cannot be incremented, therefore no result is pushed back on the stack. Instead, the next element is popped and incremented, and so forth until an element that can be incremented is found. When pushing a new symbol on top of the stack, if this element is a non-terminal, the first symbol of its rule is pushed on the stack, and so forth until reaching a terminal. To give an example, in the following grammar:

$$S \rightarrow aeAb\textcolor{red}{A}ec \quad A \rightarrow c\textcolor{red}{d}$$

the stack built from predictors is  $[d, A, S]$ . When incrementing it,  $d$  is the last of its rule, it is therefore popped out of the stack, which becomes  $[A, S]$ ; the next top,  $A$ , can be incremented to  $e$ , thus the next stack becomes  $[e, S]$ . Hence the prediction that follows  $d$  is  $e$  (and continuing, the prediction that follows  $e$  is  $c$ ).

Note that when reaching the end of the grammar, it is possible to keep making new predictions by taking any iterator built from current predictors and reading it again.

## 3.2 Context-aware I/O behavior

The final step in Omnisc'IO is the actual bookkeeping of per-context access behavior. This is done differently for each type of tracked metrics.

### 3.2.1 Tracking access sizes

Access sizes are tracked on a per-context symbol basis, so that predicting the next context symbol helps in predicting the next access size. As shown in Section 4, most context symbols are always associated with the same access size each time they are encountered in an execution, making it easy to predict the exact size of the next accesses given a correct prediction of the next context symbols.

For the minority of symbols associated with several access sizes, Omnisc'IO keeps track of all access sizes encountered and builds a grammar from this sequence of sizes. The sizes constitute the terminal symbols of this grammar, which we call a *local size grammar*. The local size grammar associated with a context symbol is updated whenever the context symbol is encountered, and it evolves independently of the *main grammar* and independently of local size grammars attached to other symbols. It can then be used to make predictions of the size.

If the number of different access sizes observed for a given symbol is too large (typically larger than a configurable constant  $S$ ), the local size grammar is replaced with a simple average,

variance, minimum and maximum values, that are updated whenever the context symbol is encountered. For our experiments, after analyzing the distributions of different access sizes per symbol, we choose  $S = 24$ .

More elaborate methods could be implemented to predict the access sizes for context symbols that exhibit apparently random sizes. We show in Section 4, however, that the three cases presented above have been sufficient to cover the behavior of all our applications.

### 3.2.2 Tracking offsets

Many prefetching systems, including those implemented in the Linux kernel [18], are based on the assumptions of consecutive accesses; that is, the next operation is likely to start from the offset where the previous one ended. As we will show in our experiments, this assumption is held for the POSIX-based applications that we tested, but it fails for applications that use a higher-level library such as HDF5. Indeed such libraries often move the offset pointer backward or forward to write headers, footers, and metadata.

To predict the offset of the next operation, we define the notion of *offset transformation*. An offset transformation can (1) leave the offset as it was at the end of the previous operation (*consecutive access transformation*), (2) set it to a specific absolute value (*absolute transformation*), or (3) set it to a value relative to the offset after the previous operation (*relative transformation*). Since it is not possible at low level to distinguish between absolute and relative transformations, Omnisc'IO uses absolute transformations only for operations that reset the offset to 0 (**open** and **close**). All other nonconsecutive offset transformations are considered relative to the previous offset.

Omnisc'IO associates transitions between context symbols with offset transformations the same way it associates context symbols with access sizes. For instance, if symbol  $b$  follows  $a$  in the execution and  $a$  left the offset at a value from which  $b$  starts, then the transition  $a \rightarrow b$  is associated with a *sequential transformation*. When a transition encounters different types of offset transformations, Omnisc'IO builds a *local offset grammar* for the transition. Local offset grammars are the counterpart of local size grammars for offset transformations. If the grammar associated with a transition grows too large (more than 24 symbols in our experiments), Omnisc'IO switches back to always predicting a *sequential offset* transformation for this transition of context symbols.

### 3.2.3 Tracking files pointers

For the prediction of offsets to work properly, Omnisc'IO needs to know that two consecutive operations work on the same file or that an operation works on a new file or a file that has already been accessed earlier. This is particularly important when accesses to multiple files interleave. The prediction of files accessed is done by recording opened file pointers and associating transitions between symbols to changes of file pointers. Since in our experiments the case of interleaved accesses to different files did not appear, we will not study this particular aspect further.

### 3.2.4 Tracking interarrival times

To keep track of the time between the end of an operation and the beginning of the next one, Omnisc'IO uses a table associating transitions between context symbols to statistics on the measured time. These statistics include the minimum and maximum observed, the average, and the variance. We prefer these statistics rather than keeping only the average because they represent the minimum required to answer (1) whether an operation will immediately follow (maximum, minimum, average, and variance close to 0); (2) whether the next operation will

follow in a predictable amount of time (maximum, minimum, and average close to each other, small variance); or (3) whether the time before the next operation is more unpredictable or depends on parameters that are not captured by our system (large minimum-maximum interval, large variance). Thus, these statistics, while minimal, give us a measure of the confidence in the predicted interarrival time, which may be important in the context of scheduling, for example.

To quickly react to changes in interarrival times, Omnisc'IO also keeps a *weighted interarrival average time*, updated every time the transition between symbols is encountered by using the following formula,

$$\hat{T}_{x \rightarrow y}^{weighted} \leftarrow \frac{\hat{T}_{x \rightarrow y}^{weighted} + T}{2}, \quad (1)$$

where  $x \rightarrow y$  is the observed transition between context symbols  $x$  and  $y$  and  $T$  is the measured interarrival time. This weighted average is more effective at making predictions of interarrival time, especially in a context where this interarrival time varies a lot between different observations of the same transition.

### 3.3 Overall prediction process and API

At each operation, Omnisc'IO updates its models (the main grammar and the tables of access sizes, offset transformations and interarrival times). It then updates its predictors and builds the set of possible next context symbols (this set often consists of a single prediction). From these possible next symbols, a set of triplets (*size*, *offset*, *date*) is formed that can then be used by scheduling, prefetching, or caching systems.

To use Omnisc'IO, any software aiming at optimizing I/O simply needs to be linked against the Omnisc'IO C++ library and to call the following functions (in the `omniscio` namespace):

- `set<pair<iterator,int>> predict()` returns a set of iterators to read the grammar from current terminal predictors. Each iterator is associated with a weight (int);
- Given an iterator `it`: `it->type()`, `it->size()`, `it->offset()`, and `it->elapsed()` respectively return the predicted type of operation, access size, offset and time between this operation and the previous one.

Appendix A exemplifies the use of the Omnisc'IO API to retrieve information on future accesses issued by the application.

### 3.4 Using Omnisc'IO at Exascale

We envision Omnisc'IO to be integrated in more complex systems by software developers, rather than exploited by application users themselves. Omnisc'IO can be easily integrated into low-level I/O interfaces such as POSIX or MPI-I/O, as shown in this work, and could thus be used to improve the performance of these layers thanks to the prediction it provides. Some examples of such usage include automatically configuring the backend file system to particular I/O patterns that the application exhibits, informing I/O schedulers of future incoming requests, properly allocating burst buffer resources as a response to predicted bursts, or enabling better prefetching.

## 4 Evaluation

In this section, we evaluate Omnisc'IO on real applications. We first assess its capability to predict the next context symbols, and we show how the grammar grows in size as the application

**Table 5:** List of applications used and their I/O backends.

Application	Field	I/O Method(s)
CM1	Climate	HDF5+POSIX HDF5+MPI-I/O HDF5+Gzip
GTC	Fusion	POSIX
Nek5000	Fluid Dynamics	POSIX
LAMMPS	Molecular Dynamics	POSIX

continues to run with the base Sequitur algorithm and with our StarSequitur. We then evaluate OmniscIO's performance in predicting the spatial and temporal characteristics of the next operations.

#### 4.1 Platform and applications

All our experiments are carried out at the Nancy site of the French Grid'5000 testbed [19]. The applications run on a Linux cluster consisting of 92 Intel Xeon L5420 nodes (8 cores per node, 736 cores in total), using MPICH 3.0.4. The OrangeFS 2.8.7 parallel file system [20] is deployed on a separate set of 12 Intel Xeon X3440 nodes. All nodes, including the file system's, are interconnected through a 20G InfiniBand network.

The list of applications used is presented in Table 5. These applications are real-world codes representative of applications running on current supercomputers (for instance, CM1 has been used on NCSI's Kraken and NCSA's Blue Waters, GTC and LAMMPS are commonly used on ORNL's Titan, and Nek5000 is used by scientists at ANL on the Mira supercomputer).

For the experiments in this paper, the applications are run on 512 cores of Grid5000, except for Nek5000, which runs on 32 cores. These applications are written in Fortran except for LAMMPS (C++). Most of them use a POSIX I/O interface.

To show the generality of our approach with respect to higher-level I/O libraries, CM1 [21, 22] uses the HDF5 [23] I/O library over the default (POSIX) I/O driver, as well as the MPI-I/O driver offered by pHDF5, and Gzip compression over the default POSIX driver. CM1 writes one file per process per I/O phase. The domain decomposition in CM1 is such that the amount of data remains the same over time and across processes. The use of compression exemplifies the case of varying data size in a nonvarying domain decomposition. CM1 exhibits a periodic I/O behavior as it alternates between computation and write phases. We can therefore expect bursts of I/O operations (short interarrival time) separated by long (several minutes) phases without any I/O. In terms of spacial pattern, the use of the HDF5 library produces a large number of **seek** operations. For instance with the POSIX backend, 35% of I/O operations are calls to **lseek64**, while 63% correspond to **write**. The remaining 2% are shared by open, close and reads.

GTC [24] writes one file per node per iteration, but the amount of data varies between files as particles move from one process to another. Each individual write is contiguous to the previous one and writes one particle. GTC also exhibits a periodic behavior with burst of I/O activities separated by computation phases.

Like CM1, the domain decomposition in Nek5000 [25] does not vary over time, but the I/O phase is executed only by the rank 0 after a *reduce* phase. Nek5000 includes a first read phase to load initial conditions.

Like GTC, LAMMPS [26, 27] is a particle simulation, but the way the particles are written out differs. In the configuration that we used, LAMMPS processes send their data to the rank 0 process only, which writes them in batches contiguously in a single file. Each batch contains a potentially different number of particles, and therefore each write is associated with a potentially different size.

Although all processes write data in CM1 and GTC, we consider the results of Omnisc’IO only on process rank 0 (for applications that issue I/O from all processes, these results are in fact identical in all processes since they exhibit the same behavior). We first evaluate how well our algorithm predicts future context symbols based on past observations. We then evaluate the ability of Omnisc’IO to predict the location (offset and size in the file) of the next I/O operations. We also evaluate its ability to predict when future accesses will happen.

## 4.2 Context prediction

To measure context prediction capabilities, we run each application, and at each I/O operation we use Omnisc’IO to predict the context symbols associated with the next ones. We first show how well Omnisc’IO can predict the context symbol that will immediately follow the current operation. We then show how many next context symbols Omnisc’IO can correctly predict.

### 4.2.1 Immediate context prediction

We use a sliding window of 10 operations and report the percentage of correct predictions. When Omnisc’IO predicts several possible next symbols, we use the weighting system described in Section 3.1.4 to turn weights into percentages. For instance, if Omnisc’IO predicts that the next symbol will be either  $a$  or  $b$  with respective weights 1 and 5, and the observed symbol is  $b$ , this prediction is valued  $100 \times \frac{5}{6} \times \frac{1}{10}$  (given the 10-symbol sliding window). For CM1 and GTC, which run for long periods of time, we show only several iterations starting from the beginning of the run.

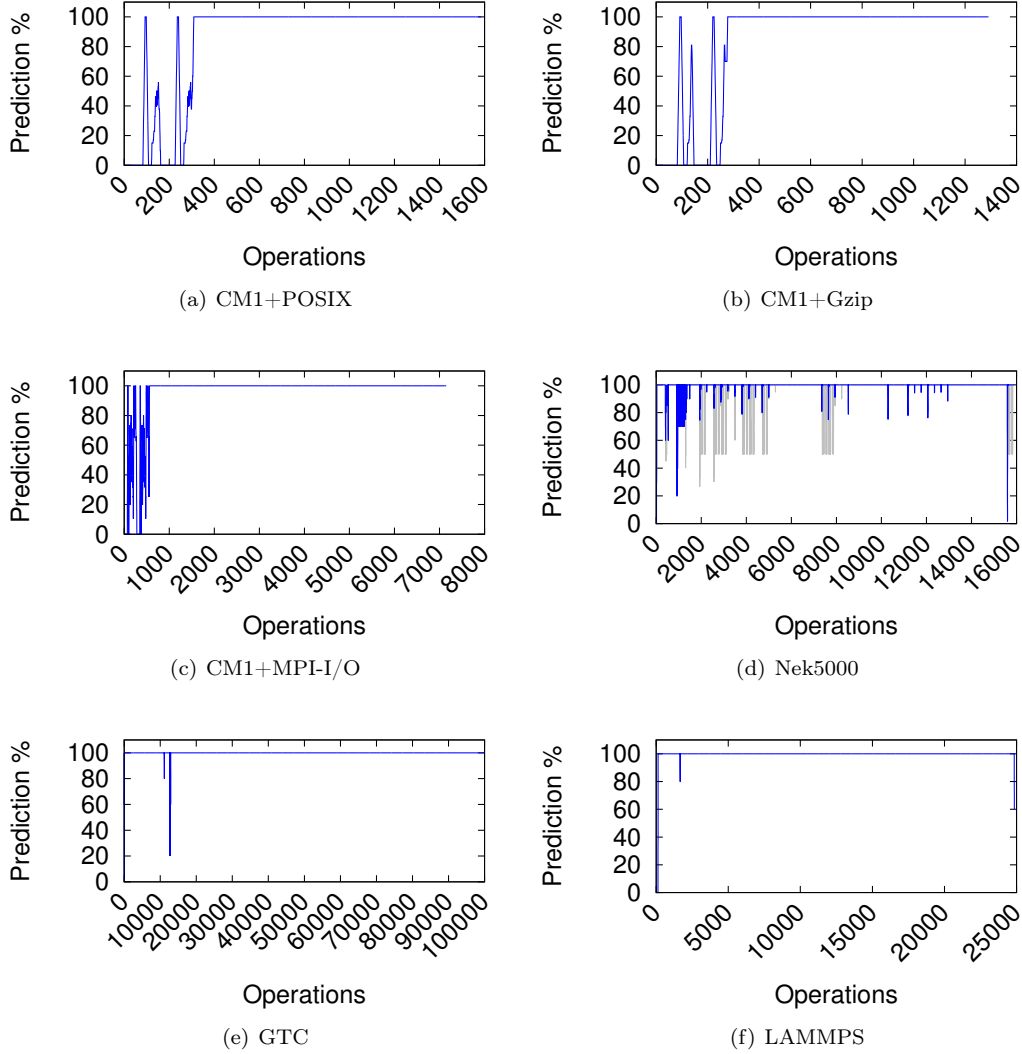
Results are shown in Figure 2. For all three configurations of CM1 as well as for LAMMPS and GTC, Omnisc’IO converges to a perfect (steady 100%) prediction of symbols after the first iteration. The variation observed during the first iteration corresponds to the moment the grammar starts detecting the innermost loops.

Omnisc’IO seems to learn GTC’s behavior (Figure 2(e)) fast: the reason is that GTC’s I/O phase consists of a loop over all particles, which is easily modeled in the grammar after the first two particles are written out. The prediction quality drops at the end of the first iteration when Omnisc’IO does not predict the end of this loop and the file being closed. This mistake is never repeated in later iterations. The same pattern appears in LAMMPS.

The case of Nek5000 is more interesting: although it writes periodically the exact same amount of data, the grammar model does not converge as fast and as perfectly as the other applications. Investigating the code of Nek5000, we found that this is due to code branches that process data in a different way depending on its content and then write it in an identical manner, leading to the creation of several symbols that are actually interchangeable in the grammar. Moving the `write` call outside the branches would help remove this indeterminism. Because we claimed our solution works with no prior knowledge of the application and without the involvement of the application developer, we did not apply this code modification. The weakness of Omnisc’IO in dealing with Nek5000’s behavior is however greatly mitigated by the use of our new weighting system. We illustrated this by adding the results without the weighting system in gray line Figure 2.

We also observe a drop in prediction quality at the end of the LAMMPS and Nek5000 runs. This drop is due to the final results being output in a section of the code different from the one





**Figure 2:** Context prediction capability of Omnisc'IO for the next context symbol only, over the run of each application. Configurations (a), (b), (c), (e), and (f) exhibit a clear learning phase after which Omnisc'IO makes perfect predictions ((e) and (f) exhibit a drop of prediction at the end of the first iteration). In (d) the gray curve corresponds to the results from our previous work, without the weighting system.

used for the periodic checkpoints. Thus these symbols, which appear the first time at the end of the execution, could not have been predicted by any model.

#### 4.2.2 Prediction of $N$ next symbols

In our next set of experiments, we aim to measure *how far ahead* Omnisc’IO can make predictions, using its *iterator* functionality. That is, at a given operation, we ask Omnisc’IO for an iterator over the next predicted symbols. Given that Omnisc’IO can provide several iterators associated with weights, we only consider the iterator with the highest weight here. We report how many of the predicted symbols are correct, up to either the first incorrect prediction, or the end of the run. Results are reported in Figure 3. The grey line in these figures represent the number of remaining symbols until the end of the application’s run. When the blue line (corresponding to the number of correctly predicted future symbols) reaches this grey line, it means that Omnisc’IO is able to perfectly predict the future behavior *up to the end of run*. As shown in the figures, this happens very quickly: after one I/O phase, Omnisc’IO has converged to a model that can correctly predict the future behavior of the application up to its end. It shows that *Omnisc’IO is not only capable of predicting the very next symbol; it has, in most cases, fully understood the logic behind the application’s I/O behavior*, and can predict any  $N$  future context symbols. Nek5000 appears to be less predictable, as already noticed in the previous section.

#### 4.2.3 Cost of a failed prediction

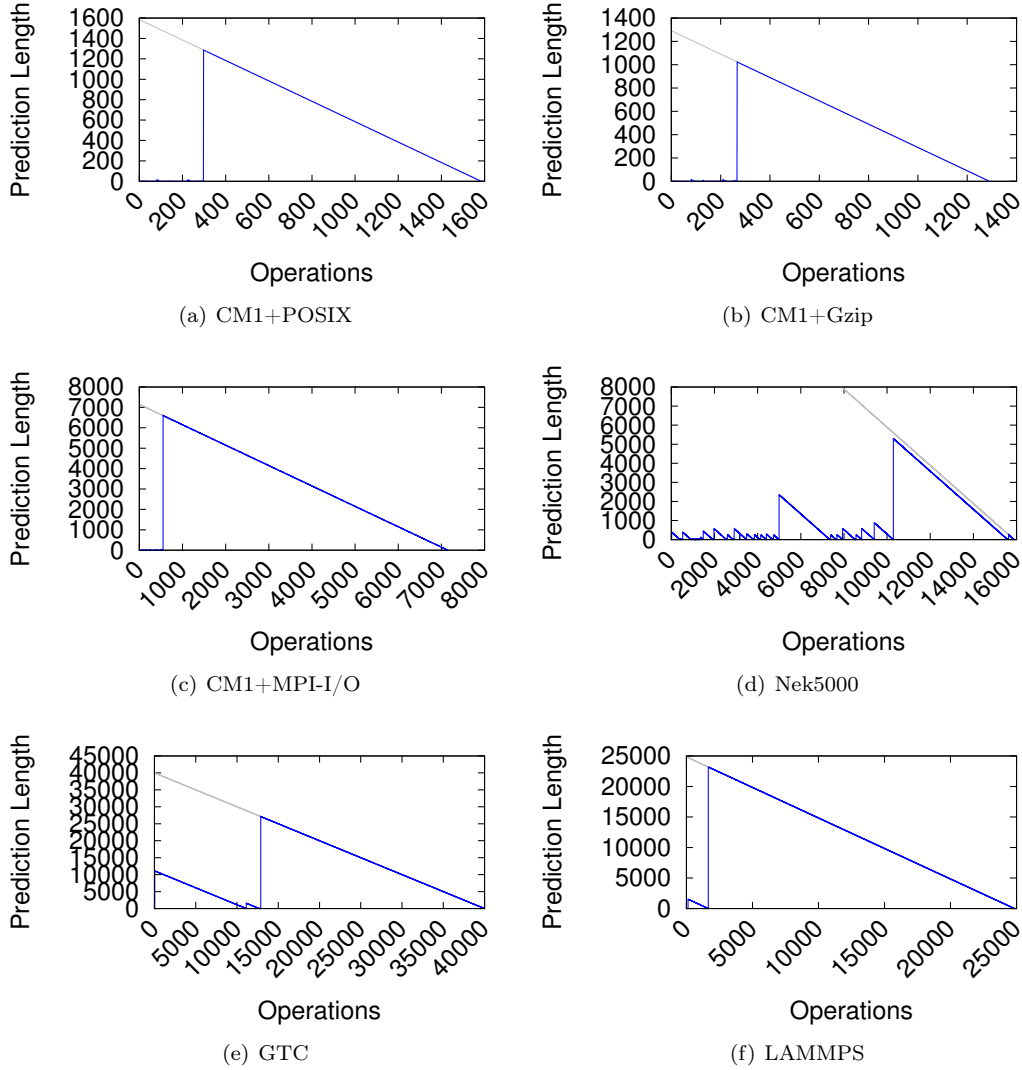
A failed prediction leads to searching new predictors within the grammar, instead of simply updating existing ones. This operation is linear in the size of the grammar (number of symbols). Thus, reducing the grammar’s size thanks to StarSequitur helps mitigating this cost. A failed prediction also has an effect on the system that leverages the prediction. For instance, a prefetching algorithm would read unnecessary data and/or fail to read the data that is actually needed by the program. The real cost would therefore depend on how much the incorrect operation consumes resources that could be used more productively.

### 4.3 Grammar size

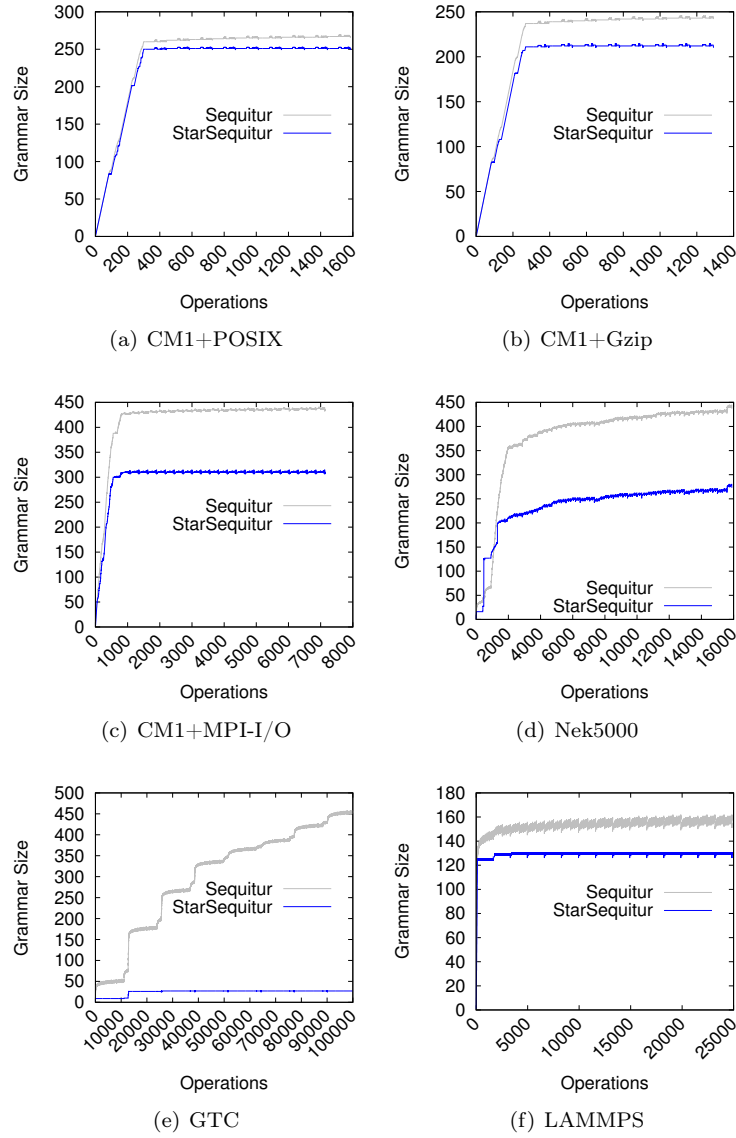
Figure 4 shows the evolution of the size of the main grammar as a function of the number of operations. For comparison, we show in gray the grammar size when using the “base” Sequitur, as opposed to StarSequitur, here in blue.

One can clearly distinguish a first *learning phase* during which Omnisc’IO discovers the behavior of the application. This phase corresponds to the first iteration (potentially preceded by an input phase). It is followed by a *stationary regime* during which the model is updated. While this stationary regime led to a logarithmically-increasing grammar size with Sequitur, our StarSequitur algorithm allows the size to remain bounded in most of the cases. The highest improvement in grammar size is visible with GTC, which previously finished its run with a 450-symbol grammar, while it now leads to a bounded, 27-symbol grammar. While Nek5000’s grammar is not bounded, StarSequitur style manages to optimize its size by 31%.

The memory footprint is directly linked to the size of the main grammar (a symbol in our implementation is a 100-byte C++ object, making the grammar consume 25 KB in the case of CM1+POSIX, for example), and the number of entries in the tables (one entry per symbol or per transition, accounting also for a few bytes. CM1+POSIX uses 198 symbols, for example). This part of the memory footprint does not increase after the learning phase. The memory footprint of Omnisc’IO is thus correlated mainly with the grammar size and does not exceed a few hundreds of kilobytes. StarSequitur ensures that this grammar size stays bounded in most cases.



**Figure 3:** Number of symbols that Omnisc'IO correctly predicts over the course of each application's run. This number is bounded by the remaining number of I/O operations (materialized by the grey line). Given the computation cost of performing predictions up to the end of the simulation for each I/O operations, we reduced the length of GTC's run in this experiments to 40000 operations (3 I/O phases) rather than 100000 previously.



**Figure 4:** Evolution of main grammar size (sum of the length of each rule, in number of symbols).

## 4.4 Spatial prediction

So far we have shown that Omnisc'IO can model and predict the behavior of the program in terms of its sequences of call stacks. In this section, we first analyze how well our solution predicts the size and the offset of the next operation. We then combine these two predictions to compute a *hit ratio*.

### 4.4.1 Prediction of sizes

We analyzed how many different access sizes were associated with each context symbol. We found that the vast majority of symbols were associated with just one size, potentially different for each symbol (171 symbols out of 183 for CM1 using HDF5 are associated with one size, and similar numbers with GZIP and pHDF5, 12 out of 17 for GTC, and all 38 of them for Nek5000). LAMMPS had the most interesting distribution, with 123 symbols associated with a unique size (yet potentially different for each symbol), and one unique symbol associated with a different size at almost every appearance. This distribution is due to the fact that all  $n$  processes send their set of particles to the rank 0 process, which writes them into a file in  $n$  successive `write` calls. As the number of particles varies between processes and between checkpoints, this leads to the variation in observed sizes.

To evaluate the prediction of sizes, we use the following relative error as a metric:

$$E_{size} = \frac{|size_p - size_o|}{size_o}, \quad (2)$$

where  $size_p$  is the predicted size and  $size_o$  is the observed size. Intuitively, if the predictions are always such that  $E_{size} \leq \epsilon$ , then allocating  $1 + \epsilon$  times the predicted size (in a caching system, for example) will always be enough to cover the need for the next operation.

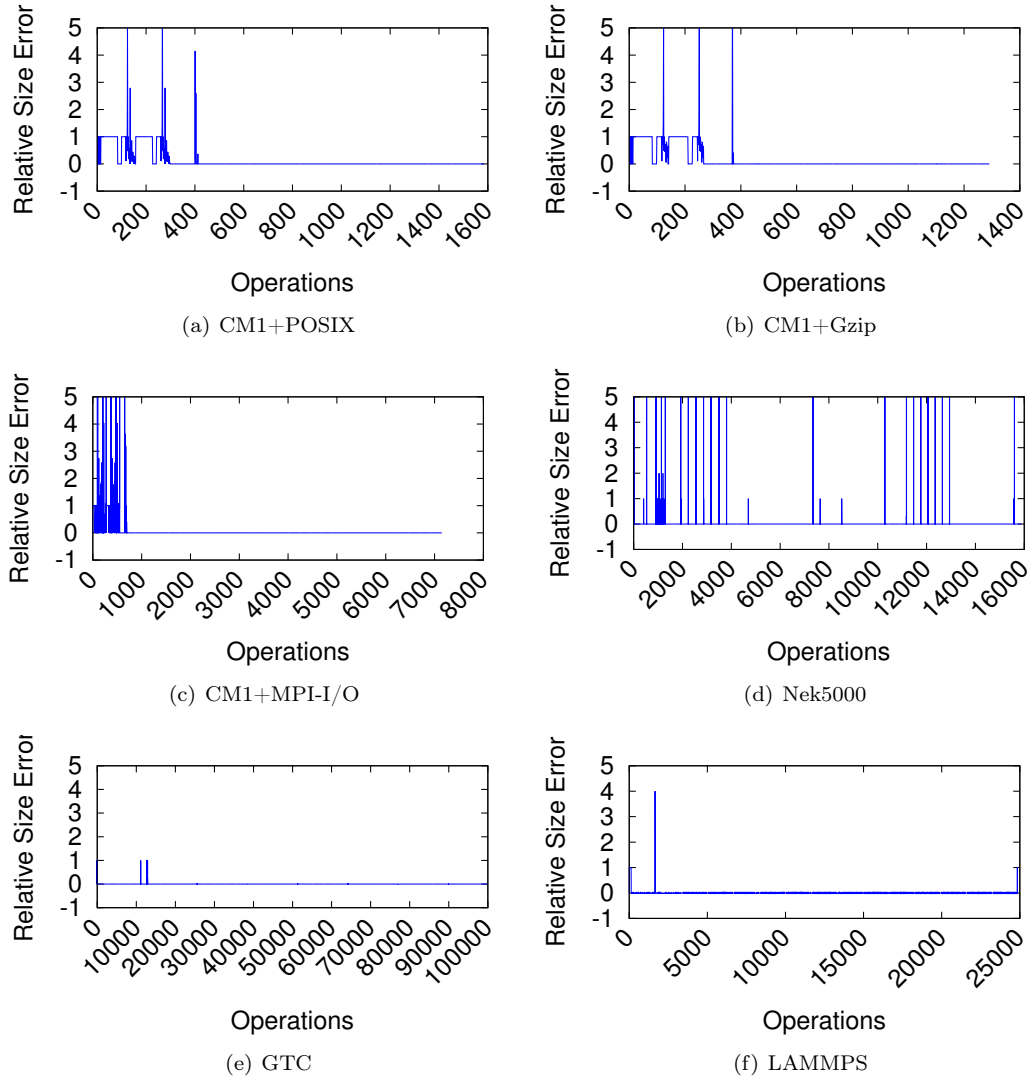
Figure 5 shows the relative error observed for all six cases. In all but Nek5000, the error goes to 0 or close to 0 after the learning phase. Errors observed in Nek5000 match the incorrect predictions of context symbols. In LAMMPS, the prediction is very close but not equal to 0. The reason is that the number of particles written (and thus the size of each write) varies slightly from one write to another. Thus, after trying to build a local size grammar out of those random sizes, Omnisc'IO falls back to keeping track of the average only.

Note that the graphs are cut down to a maximum relative error of 5, whereas the observed errors can be of up to several thousands. For instance, if Omnisc'IO predicts a `write` of 5,000 bytes while the application actually writes only 2 bytes, the relative error is 2,499.

To put these relative errors in perspective, Table 6 provides statistics on the sizes accessed by each operation. Note that the standard deviation is often close to or even larger than the average access size, making this average a poor estimator if we were to use it in a prediction.

### 4.4.2 Prediction of offsets

We consider that an offset prediction is either correct or incorrect. When our algorithm makes several predictions for the next context symbol (and therefore several predictions of offset), correct predictions are weighed according to our weighting system. We compare our solution with the classical *contiguous access* estimation [18], which consists of always predicting that the next offset will follow the previous access. Table 7 shows the proportion of contiguous accesses in our set of applications as well as the proportion of correct predictions made by Omnisc'IO. In all cases, Omnisc'IO achieves a better prediction of offsets than does the naive approximation based on contiguous accesses. It is especially better suited when using a high-level I/O library such as HDF5 in CM1, since it manages to model and predict the portion of accesses that are



**Figure 5:** Relative error in the prediction of access sizes in all simulations:  $E_{size} = \frac{|size_p - size_o|}{size_o}$ , where  $size_p$  is the predicted size and  $size_o$  is the actually observed size.

**Table 6:** Statistics on access sizes by each application.

Application	Min	Max	Average	Std. dev.
CM1 (POSIX)	4 B	562.5 KB	212.9 KB	268.2 KB
CM1 (Gzip)	4 B	28.1 KB	5.5 KB	10.0 KB
CM1 (MPI-I/O)	4 B	562.5 KB	109.5 KB	219.8 KB
Nek5000	4 B	96.0 KB	20.1 KB	29.7 KB
GTC	4 B	8.0 KB	7.8 KB	100.0 B
LAMMPS	3 B	2.70 MB	851.1 KB	1.24 MB

**Table 7:** Proportion of correct offset prediction using a naive *contiguous offsets* approach, and using Omnisc'IO, rounded to closest 0.1%.

Application	Contiguous Accesses	Omnisc'IO
CM1 (POSIX)	47.4%	92.4%
CM1 (Gzip)	53.2%	82.7%
CM1 (MPI-I/O)	72.7%	98.2%
Nek5000	99.4%	99.8%
GTC	99.9%	100%
LAMMPS	99.9%	100%

noncontiguous. In particular, the prediction of offset in CM1 using HDF5 goes from 47.4%, when using a contiguous access estimation, to 92.2% with Omnisc'IO.

#### 4.4.3 Hit ratio

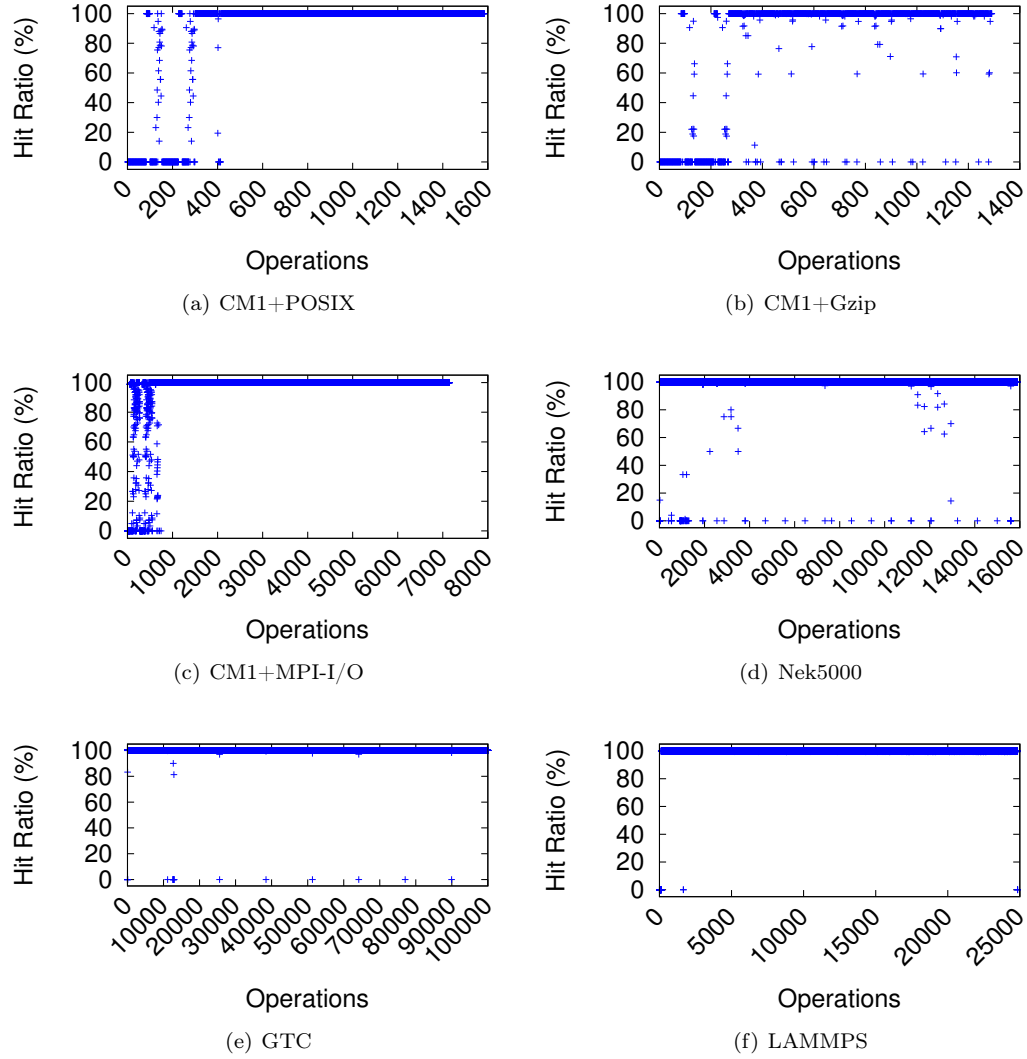
We also combine the prediction of sizes and offsets to measure how accurately our solution can predict the location of the next access. This information forms a predicted segment  $S = \llbracket x_{start}, x_{end} \rrbracket$ . The segment effectively accessed by the next I/O operation is denoted  $S_0 = \llbracket y_{start}, y_{end} \rrbracket$ . The *hit ratio* of  $S$  with respect to  $S_0$ , denoted  $H(S|S_0)$ , is computed by

$$H(S|S_0) = \begin{cases} \frac{100 \times |S \cap S_0|}{\max(x_{end}, y_{end}) - \min(x_{start}, y_{start})} \\ 100 & \text{if } S = S_0 = \emptyset \end{cases} \quad (3)$$

This metrics yields the percentage of overlap between the two segments with respect to the distance between their extrema:  $H(S|S_0) = 100 \iff S = S_0$ . Since our approach may propose several potential next locations, this formula is extended to multiple segments  $S_1 \dots S_n$  by considering the weighted average of  $H(S_i|S_0)$  for  $i \in \llbracket 1, n \rrbracket$ . Figure 6 shows the results obtained with our simulations, and Table 8 presents the average hit ratio over the course of the entire run for each application. Note that for CM1+POSIX and CM1+MPI-I/O, Omnisc'IO holds a perfect hit ratio after the learning phase. Although the hit ratio in LAMMPS also seems to be perfect, it is actually slightly lower than 100% because of the small error made in the prediction of the size (see explanation in Section 4.4.1). The lowest hit ratio achieved in our experiments was that of CM1+Gzip (79.5%), which is explained mainly by incorrect predictions of offsets, according to the study made on the prediction of offsets and sizes in earlier sections. Our guess is that HDF5 writes compressed data by blocks of predictable size but jumps back and forth in a more unpredictable manner to update metadata.

## 4.5 Temporal prediction

Temporal prediction involves estimating the time between the end of an I/O operation and the beginning of the next one (interarrival time). For qualitative analysis, Figure 7 presents the series of observed interarrival times between consecutive operations, along with the predictions made by Omnisc'IO. We note that Omnisc'IO is efficient at discriminating *immediate transitions* (low transition times, which can be used as a hint that two consecutive operations belong to the same I/O phase) from *distant transitions* (corresponding to computation and communication phases that last longer).

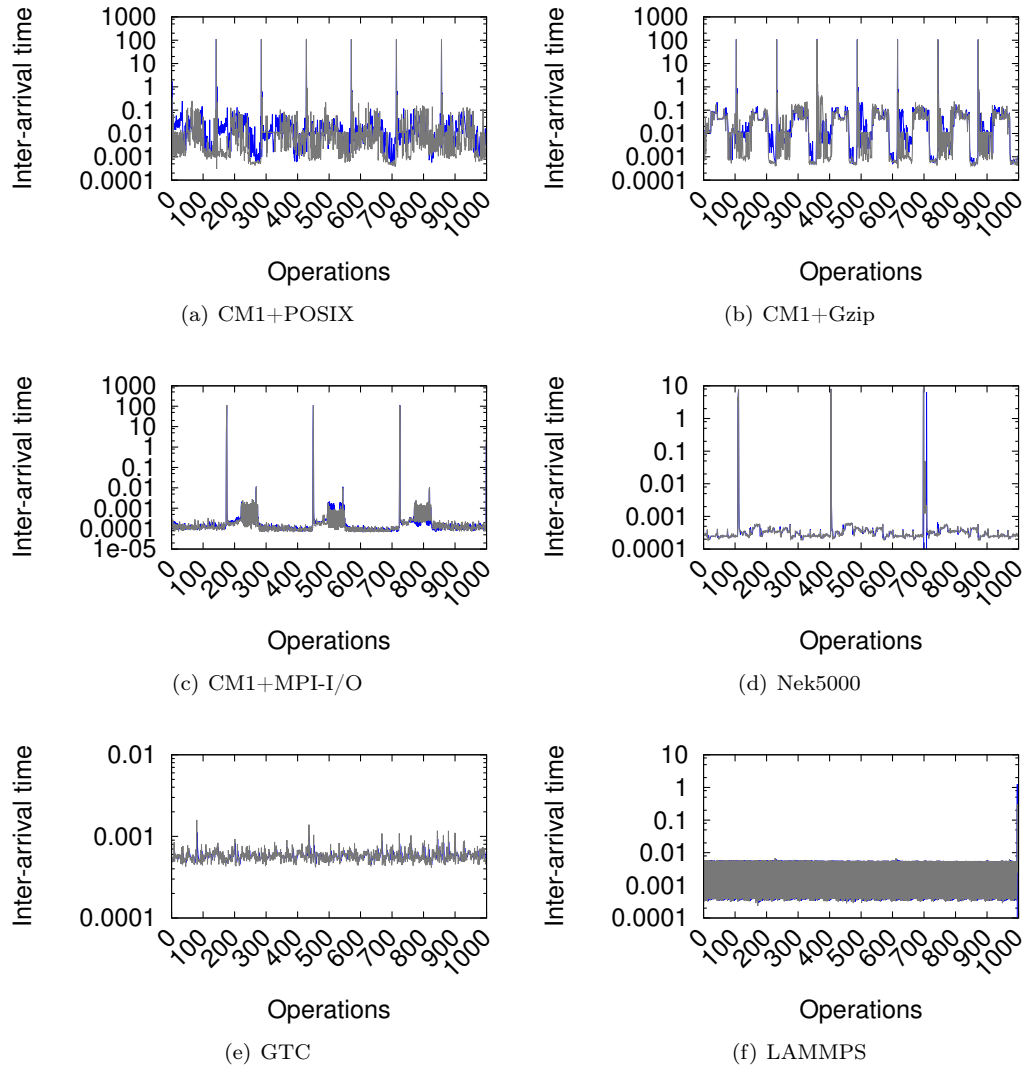


**Figure 6:** Measurement of the hit ratio using Omnisc’IO to predict the location of the next accessed segment, as a function of the number of operations completed.

**Table 8:** Average hit ratio achieved by Omnisc’IO, rounded to closest 0.1%.

Application	Hit Ratio
CM1 (POSIX)	84.7%
CM1 (Gzip)	79.3%
CM1 (MPI-I/O)	96.3%
Nek5000	99.4%
GTC	100%
LAMMPS	99.4%





**Figure 7:** Matching between observed (gray) and predicted (blue) interarrival times of I/O events.

**Table 9:** Average time difference between predicted and observed interarrival times (rounded to closest millisecond), and comparison with an *immediate re-access* estimation.

Application	Time Difference	Immediate Reaccess
CM1 (POSIX)	0.197 sec	0.735 sec
CM1 (Gzip)	0.199 sec	0.791 sec
CM1 (MPI-I/O)	0.060 sec	0.406 sec
Nek5000	0.011 sec	0.049 sec
GTC	0.001 sec	0.006 sec
LAMMPS	0.000 sec	0.003 sec

Figure 8 presents the absolute difference between observed and predicted transition times on a logarithmic scale. For readability reasons, we consider only the 1,000 last operations of each run, that is, during the stationary regime. Table 9 reports the average of absolute difference over the course of each run (in its entirety, and not restricted to the stationary regime). We also compare the performance of Omnisc’IO with the *immediate reaccess* estimation used by some I/O schedulers (e.g., [28]), which consists of assuming that the next I/O operation is likely to immediately follow the current one (i.e., interarrival time are always estimated to 0) and use a time window during which a potential new operation is expected). In all situations, Omnisc’IO appears to be very good at predicting the interarrival time of I/O accesses. In particular, the average difference between the predicted and observed interarrival time is below a microsecond for LAMMPS, and at worst 0.199 seconds for CM1+Gzip, as opposed to 0.003 and 0.791 seconds, respectively, when considering an immediate reaccess estimation.

Note that by combining the prediction of interarrival times and context symbols, we can estimate how many accesses will happen within a given time window and how many consecutive operations will occur before the end of the I/O phase. Because of space constraints, these studies are not included in this paper.

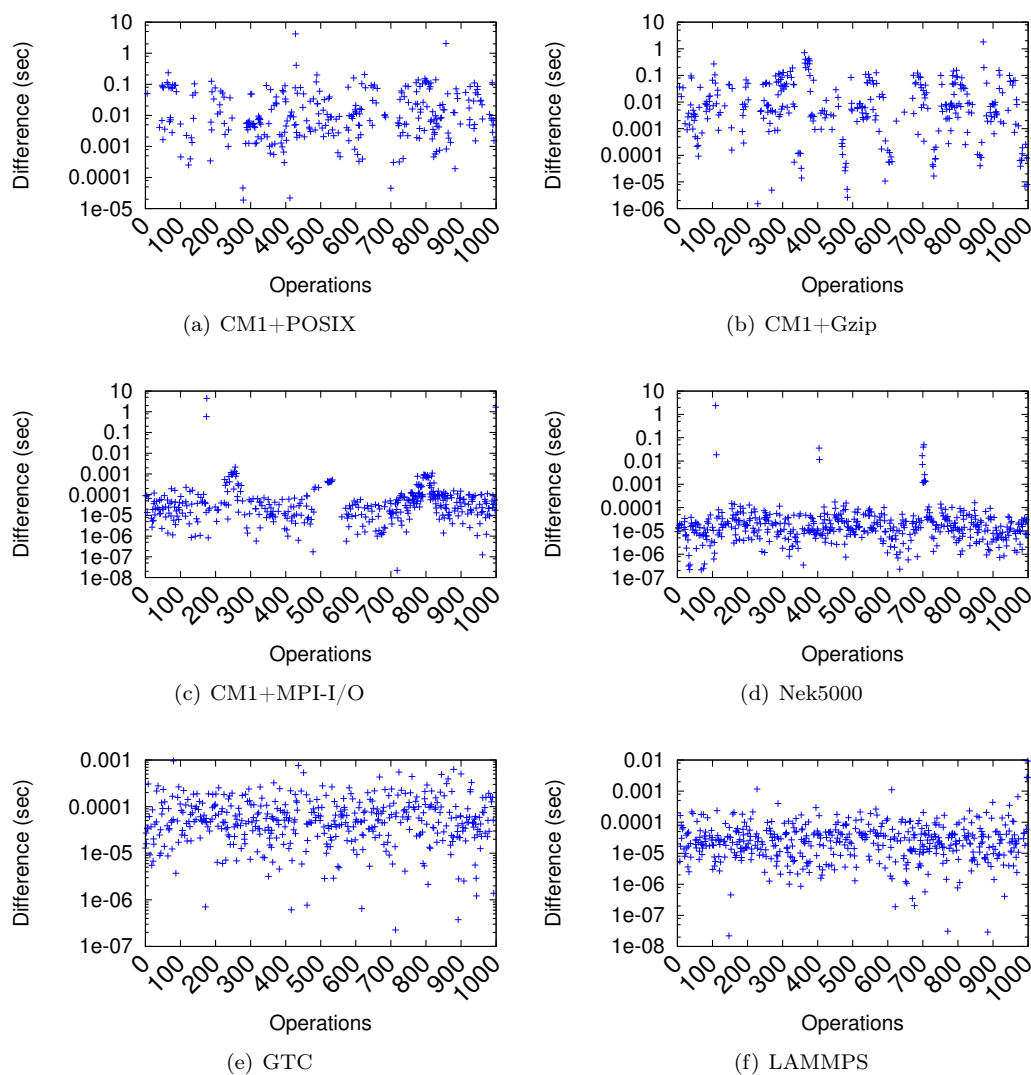
## 4.6 Limitations of our approach

Like all systems, Omnisc’IO has limitations. As it leans on the repetitiveness of I/O patterns, any nonperiodic applications (e.g., applications that write their results only once at the end of their run) will make Omnisc’IO incapable of discovering repetitive structures in the I/O pattern. To deal with such applications, however, Omnisc’IO can save its model into files and reload it before the next run.

As noted in Section 4.2, Omnisc’IO is sensitive to branches in the code that depend on the content of the data. Solving this problem is arguably more difficult, since it would require Omnisc’IO to know on which specific part of the entire simulation’s data the branch depends.

## 4.7 Run-time overhead

The run-time overhead on a commodity hardware is presented in Table 10. This overhead of a few microseconds is negligible compared with the time taken by the I/O operations themselves (a few milliseconds to several seconds). However, since Omnisc’IO works at the level of atomic, contiguous operations, these I/O operations can be made asynchronous to hide the overhead of Omnisc’IO behind the I/O time.



**Figure 8:** Difference between predicted and observed interarrival times of I/O events.

**Table 10:** Overhead of Omnisc'IO in the run time of each application (in microseconds per operation).

Application	Average Overhead	Std. dev.
CM1 (POSIX)	20.51 $\mu$ sec	18.27 $\mu$ sec
CM1 (Gzip)	20.20 $\mu$ sec	15.56 $\mu$ sec
CM1 (MPI-I/O)	19.95 $\mu$ sec	14.50 $\mu$ sec
Nek5000	23.44 $\mu$ sec	18.96 $\mu$ sec
GTC	19.03 $\mu$ sec	27.79 $\mu$ sec
LAMMPS	22.10 $\mu$ sec	14.72 $\mu$ sec

## 5 Related Work

This section presents the related work in the context of grammar-based modeling as well as spatial and temporal I/O prediction.

### 5.1 Grammar-based modeling

The first work related to ours is Sequitur [14]. Sequitur is designed to build a grammar from a sequence of symbols and has been used mainly in the area of text compression [12], but also natural language processing, music processing, and macromolecular sequence modeling [13]. The repetitive periodic I/O behavior of HPC applications [8] is a very good candidate application for Sequitur. To our knowledge, our approach is the first to take advantage of a grammar-based model not only for modeling but also for making real-time predictions (through improvements of the Sequitur algorithm) of the application's I/O pattern.

### 5.2 I/O patterns prediction

Spatial and temporal I/O access prediction is a challenge commonly addressed in the context of prefetching, caching, and scheduling. Prefetching and caching indeed require a prediction of the location of future accesses [18], while I/O scheduling leverages estimations of I/O requests' interarrival time. Although these domains have been investigated for decades in the context of commodity computers [29], we restrict our study of related works mostly to their use in the HPC area, where applications have different (mostly more regular) I/O behavior.

#### 5.2.1 Spatial predictions

Most of the work on spatial I/O patterns predication is done to assist I/O prefetching using various approaches, including Markov models [8], speculative execution [30], and knowledge accumulation [5]. These studies predict the I/O behavior based on statistical methods, however, and therefore require either prior knowledge of the application or long runs before the model converges. Moreover, the predictions are evaluated by mean of performance improvements in a particular context such as prefetching. Our work focuses on providing a general approach that can predict both spatial and temporal I/O patterns of any HPC applications, at run time. Its evaluation focuses on its prediction capability, and our results can therefore be transferred to any of the aforementioned applications.

Kroeger and Long [31] study several spatial access pattern modeling techniques, some of which are inspired by text compression algorithms such as variants of PPM (prediction by partial matching). The contexts (or symbols) used in these models are parameters of system-level I/O calls (i.e., file name, offset, size, etc.). Our solution builds a model of the program's structure using backtraces and keeps statistics only on the access parameters. Moreover, it can predict *when* the next operations are going to happen.

Gniady et al. [16] also use stack frames to optimize the prediction of disk accesses, using existing pattern prediction techniques in the operating system. Their solution is used to improve caching.

Madhyastha and Reed [10] use artificial neural networks (ANNs) and hidden Markov models (HMMs) to classify access patterns in order to improve adaptive file systems. In their paper, the authors show that ANNs are incapable of predicting future access patterns, while HMMs need to be trained by using access patterns from several previous executions. The challenge of predicting *when* future accesses will occur is not addressed, however. Our solution based on

grammar models is able to converge at run time without prior execution of the application and can predict both spatial and temporal access patterns.

Closer to our approach is the work by He et al. [7], who propose an approach to spatial I/O pattern detection to improve metadata indexing in PLFS. Their approach considers a sequence of  $(offset, size)$  access parameters and tries to find repetitive patterns in the differences (delta) between consecutive accesses, using a method inspired by the LZ77 sliding window algorithm. They also apply their algorithm to pattern-aware prefetching. While Omnisc'IO targets the same goal, it differs in the underlying algorithm used (Sequitur-inspired versus LZ77-inspired). Our approach also leverages stack traces to build a model of the program's behavior, whereas the solution proposed by He et al. works on the sequence of  $(offset, size)$  pairs.

### 5.2.2 Temporal prediction and scheduling

Prediction of temporal access pattern has been investigated by Tran and Reed [6] using ARIMA time series to model interarrival time between I/O requests. While the authors propose a solution that builds the model at run time, such statistical models need a large number of observations in order to converge to a good representation and, thus, good predictions. While ARIMA-based methods are effective at file system level when no knowledge can be retrieved from the application, we have shown that accurate predictions of interarrival times are possible at the application level without the need for such stochastic methods.

Byna et al. [9] propose a notation called I/O signatures to assist I/O prefetching. I/O signatures describe the historic access pattern including the spatiality, request size, repetitive behavior, temporal intervals, and type of I/O operation. I/O signatures are stored persistently and can be used only in later runs.

Zhang et al. [32] couple I/O schedulers with process schedulers on compute nodes. When an application enters an I/O phase, it spawns new processes that pre-execute the code in order to find future I/O accesses while the main processes are waiting for the first access to complete. The knowledge of future accesses is then leveraged by the main processes. Considering the trend toward smaller operating systems with only restricted features, this kind of approach is likely not to be applicable in future machines with no preemptive process scheduler.

Several schedulers have been proposed that leverage some knowledge from the applications. The network request scheduler from Qian et al. [33], built in Lustre [34], associates deadlines to requests. A similar design is proposed by Song et al. [35]. These schedulers are not based on any prediction, however, and could be greatly improved by knowledge extracted by Omnisc'IO on future access patterns. This knowledge can indeed help decide which application should be given priority to access the file system given its future access pattern. The scheduler proposed by Lebre et al. [36] aims at aggregating and reordering requests while trying to maintain fairness across applications, a task that would undoubtedly be easier with any kind of prediction of future incoming I/O requests.

In our previous work [4] we advocated for cross-application coordination to mitigate I/O interference. While the application user was required to explicitly instrument an application to expose its I/O patterns to other applications, the spatial and temporal I/O predictions presented in the present work can be leveraged to remove the need for this instrumentation and thus offer transparent cross-application I/O scheduling.

## 6 Conclusion

The unprecedented scale of tomorrow's supercomputers forces researchers to consider new approaches to data management. In particular, self-adaptive and intelligent I/O systems that are

capable of run-time analysis, modeling, and prediction of applications' I/O behavior with little overhead and memory footprint will be of utmost importance to optimize prefetching, caching, or scheduling techniques.

In this paper we have presented Omnisc'IO, an approach that builds a model of I/O behavior using formal grammars. Omnisc'IO is transparent to the application, has negligible overhead in time and memory, and converges at run time without prior knowledge of the application. It is based on an extension of Nevill-Manning's Sequitur algorithm, that we called StarSequitur, and is able to make accurate prediction of any  $N$  future I/O operations.

We have evaluated Omnisc'IO with four real applications in a total of six scenarios. Omnisc'IO converges quickly to a stable model capable of predicting both the time and location of future I/O accesses, achieving a near-perfect hit ratio (from 79.3% to 100% in our experiments) and interaccess time estimation (up to 0.199 sec of average absolute difference with the observed interaccess time).

As future work, we plan to integrate Omnisc'IO within our previous CALCioM framework [4] for efficient I/O scheduling and to implement prefetching and caching systems that leverage the excellent prediction capabilities shown by Omnisc'IO. We also plan to explore this approach as a mechanism for representing I/O behavior for replay in parallel discrete event simulations of large-scale HPC storage systems.

## Acknowledgments

This material is based upon work supported by the U.S. Department of Energy, Office of Science, Office of Advanced Scientific Computing Research, under contract number DE-AC02-06CH11357. This work was done in the framework of a collaboration between the KerData joint Inria - ENS Rennes - Insa Rennes team and Argonne National Laboratory within the Joint Laboratory for Extreme-Scale Computing. Experiments presented in this paper were carried out using the Grid'5000 testbed, supported by a scientific interest group hosted by Inria and including CNRS, RENATER, and several Universities as well as other organizations (see <http://www.grid5000.fr/>).

## A Code example

The following code exemplifies the use of the Omnisc'IO API to navigate into the predictions. This API would be used within an I/O middleware to trigger particular optimizations based on the predicted I/O pattern.

```
// omniscio::oracle is the object containing the I/O model
omniscio::oracle io_model;

// making prediction of some sequence
std::set<std::pair<omniscio::iterator,int>> predictions = io_model.predict();

if(predictions.size() == 0) {
    std::cout << "Omnisc'\IO_doesn\'t_know" << std::endl;
} else {
    // take one of the sequence proposed by the model (for instance the first)
    std::pair<omniscio::iterator,int>& sequence = *prediction.begin();
    std::cout << "First_sequence_proposed_has_a_weight_of_"
                << sequence.second << std::endl;
    // take the iterator and start going through the
    // operations predicted by the sequence
    omniscio::iterator operation = sequence.first;
    while(operation != io_model.end()) {
        switch(operation->type()) {
            case OMNISCIO_OPEN:
                ...
            case OMNISCIO_WRITE:
                std::cout << "write_operation_at_offset_"
                            << operation->offset()
                            << "_and_size_" << operation->size()
                            << "_will_occur_" << operation->elapsed()
                            << "_after_the_previous_one" << std::endl;
                ...
            case OMNISCIO_READ:
                ...
        }
        operation++;
    }
}
```

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ISSN 0249-6399